SEC Form 4	
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## FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Address of Reporting Person* <u>SMITH SANDFORD D</u>				uer Name <b>and</b> Ticke <u> TOKINETICS</u>					ationship of Reportin k all applicable) Director	ng Person(s) to Is 10% (		
(Last) 280 EAST GRA	(First) ND AVENUE	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 07/19/2021						Officer (give title below)	Other below	(specify )
(Street) SOUTH SAN FRANCISCO (City)	CA (State)	94080 (Zip)	4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)						ividual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person		
	Ta	able I - Nor	n-Derivative S	Securities Acq	uired,	Dis	posed of,	or Ben	eficially	Owned		
Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
					Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock 07/19/			07/19/2021		<b>M</b> <sup>(1)</sup>		5,000	A	\$10.68	11,459 <sup>(2)</sup>	D	
Common Stock		07/19/2021		<b>S</b> <sup>(1)</sup>		5,000	D	\$29	6,459 <sup>(2)</sup>	D		

Common Stock	07/19/2021	<b>S</b> <sup>(1)</sup>	5,000	D	\$ <mark>29</mark>	6,459 <sup>(2)</sup>	D	
Common Stock	07/19/2021	M <sup>(1)</sup>	1,965	Α	\$10.68	8,424(2)	D	
Common Stock	07/19/2021	<b>S</b> <sup>(1)</sup>	1,965	D	\$29.5	6,459 <sup>(2)</sup>	D	
Common Stock	07/19/2021	M <sup>(1)</sup>	5,000	Α	\$10.68	11,459 <sup>(2)</sup>	D	
Common Stock	07/19/2021	<b>S</b> <sup>(1)</sup>	5,000	D	\$28.5	6,459 <sup>(2)</sup>	D	

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deri Seci Acq (A) o Disp of (E	osed )) tr. 3, 4	6. Date Exerc Expiration D (Month/Day/)	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non- Qualified Stock Option (right to buy)	\$10.68	07/19/2021		<b>M</b> <sup>(1)</sup>			5,000	06/15/2019	05/15/2029	Common Stock	5,000	\$0.0	10,000	D	
Non- Qualified Stock Option (right to buy)	\$10.68	07/19/2021		M <sup>(1)</sup>			1,965	06/15/2019	05/15/2029	Common Stock	1,965	\$0.0	8,035	D	
Non- Qualified Stock Option (right to buy)	\$10.68	07/19/2021		M <sup>(1)</sup>			5,000	06/15/2019	05/15/2029	Common Stock	5,000	\$0.0	3,035	D	

Explanation of Responses:

1. Transaction effected pursuant to a 10b5-1 plan meeting the requirements of Rule 10b5-1(c) under the Exchange Act entered into by the Reporting Person on March 10, 2021.

2. Amount includes 1,459 shares of common stock received at Reporting Person's election pursuant Issuer's stock in lieu of cash for all or part of directors' annual base retainer program.

## By: Robert Wong For: Sandford Smith

<u>07/21/2021</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.